

## PART B - FEE(S) TRANSMITTAL

OCT 27 2006  
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34350      7590      08/24/2006

GUNNISON, MCKAY & HODGSON, L.L.P.  
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 MONTEREY, CA 93940

10/27/2006 CCHAU2 0000091 10080574

01 FC:1501	1400.00 OP
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Serge J. Hodgson	(Depositor's name)
<i>Serge J. Hodgson</i>	(Signature)
October 24, 2006	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/080,574	02/25/2002	John B. Beavers	SY MC1023-12016-0004	8681

TITLE OF INVENTION: SYSTEM AND METHOD FOR TRACKING AND FILTERING ALERTS IN AN ENTERPRISE AND GENERATING ALERT INDICATIONS FOR ANALYSIS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	11/24/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
PATEL, NIRAV B	2135	726-022000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). <input type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. <input type="checkbox"/> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.	2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.	1 <u>Gunnison, McKay &amp; Hodgson, L.L.P.</u> 2 _____ 3 <u>Serge J. Hodgson</u>
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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Symantec Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Cupertino, CA

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

4a. The following fee(s) are submitted:

- Issue Fee
- Publication Fee (No small entity discount permitted)
- Advance Order - # of Copies \_\_\_\_\_

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5. Change in Entity Status (from status indicated above)

- a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- b. Applicant is no longer claiming SMALL ENTITY status. Sec 37 CFR 1.27(g)(2).

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Authorized Signature Serge J. Hodgson

Date October 24, 2006

Typed or printed name Serge J. Hodgson

Registration No. 40,017

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